

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. GB 010043		Serial No.	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Applicant Frank W. ROHLFING			
				Filing Date CONCURRENTLY		Group	

JCS79 U.S. PTO  
 10/099680  
 03/15/02

U.S. PATENT DOCUMENTS														
Ex. Int.		Document Number								Date	Name	Class	Sub-class	Filing Date If Approp.
<i>AL</i>	AA	5	6	1	2	2	3	4	3/18/97	HA	437	40		
<i>AL</i>	AB	5	1	3	0	8	2	9	7/14/92	SHANNON	359	59		
<i>AL</i>	AC	5	7	8	6	2	4	1	7/28/98	SHIMADA	438	163		
	AD													
	AE													
	AF													

FOREIGN PATENT DOCUMENTS																
		Document Number								Date	Country	Class	Sub-class	Trans.		
		E	P	0	6	2	9	0	0	3					Yes	No
<i>AL</i>	AG	E	P	0	6	2	9	0	0	3	12/14/94	EUROPEAN	H01L	29/784	X	
<i>AL</i>	AH	G	B	2	3	2	6	0	1	9	12/9/98	GREAT BRITAIN	H01L	29/786	X	
<i>AL</i>	AI	0	8	2	9	3	6	1	2	A	11/5/96	JAPAN	H01L	29/786	X	
<i>AL</i>	AJ	0	7	1	3	1	0	2	7	A	5/19/95	JAPAN	H01L	29/786	X	
<i>AL</i>	AK	0	6	3	3	3	9	4	1	A	12/2/94	JAPAN	H01L	21/336	X	

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AL</i>	AL	"Structural fabrication using caesium chloride island arrays as resist in a fluorocarbon reactive ion etching plasma" by S. Tsuchiya, M. Green and R R A Syms in Electrochemical and Solid-State Letters 3, 44-46 (2000)
<i>AL</i>	AM	"Mesoscopic hemisphere arrays for use as resist in structure fabrication" by M Green and T J Tsuchiya, in Vac. Sci. and Tech. B17 2074-2083 (1999)
<i>AL</i>	AN	"Formation of silicon islands on a silicon on insulator substrate upon thermal annealing" by B Leggrannd, V. Agache, J P Nys, V. Senez and D. Stievenard, in Appl. Phys. Lett., 76(22) 3271 (2000).

*James Schilling*      8/20/02

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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	AA													
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														Yes	No	
<i>AG</i>	AG	0	9	1	4	8	2	6	6	A	6/6/97	JAPAN	H01L	21/266	X	
	AH															
	AI															
	AJ															
	AK															

  

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)	
<i>AL</i>	"Nanostructure array fabrication with a size-controllable natural lithography" by C. Haginoya, M. Ishibashi and K. Koike, in Appl. Phys. Lett., 71(20 2934 (1999)
<i>AM</i>	"Quantum pillar structures fabricated on n <sup>+</sup> gallium arsenide fabricated using 'natural' lithography" by M. Green, M. Gargia-Parajo, F. Khaleque and R. Murray, in Applied Physics Letters 63, 264-266 (1993).
<i>AN</i>	"Micellar inorganic-polymer hybrid systems - a tool for nanolithography" by J P Spatz, T. Herzog, S.J.P. Spatz, T. Herzog, S. Mößmer, P. Ziemann and M. Möller, in Advanced Materials 11(2), 149 (1999).
<i>hanna Schütz</i> <span style="float: right;">8/20/02</span>	
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FOREIGN PATENT DOCUMENTS													
		AG	AH	AI	AJ	AK	Document Number	Date	Country	Class	Sub-class	Trans.	
												Yes	No

  

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)	
AL <i>[Signature]</i>	"Fabrication of quasi-zero-dimensional submicron dot array and capacitance spectroscopy in a GaAs/AlGaAs heterostructure", by H. Fang, R. Zeller and P. J. Stiles, in Applied Physics Letters, 55(14), 1433 (1989).
AM <i>[Signature]</i>	"Fabrication of silicon cones and pillars using rough metal films as plasma etching masks", by K. Seeger and R.E. Palmer, in Applied Physics Letters, 74(11), 1627 (1999).
AN <i>[Signature]</i>	<i>[Signature]</i>

  

<i>hanna Schutz</i>	<i>8/20/02</i>
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